



# **UiTM RESEARCH ETHICS COMMITTEE (REC)**

## **GUIDE FOR APPLICANTS**

UiTM Research Ethics Committee

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# SUMMARY OF REC FORMS

NO	PROCESS DESCRIPTION	RELEVANT REC FORMS
1	DESCRIPTION OF APPLICATION PROCESS	REC 1 FORM : FLOWCHART OF APPLICATION PROCESS
2	APPLICATION FOR ETHICS APPROVAL	REC 2 FORM : APPLICATION FORM FOR ETHICS APPROVAL REC 3 FORM : RESEARCH RISK CLASSIFICATION FORM REC 4 FORM : SUBJECT INFORMATION SHEET REC 5 FORM : CHECKLIST FOR APPLICANTS REC 10 FORM : COVER LETTER FOR AMENDMENT (FOR CONDITIONAL APPROVALS) REC 12 FORM : ASSENT FORM (FOR STUDIES ON MINORS)
3	APPLICATION FOR THE EXEMPTION OF ETHICS REVIEW	REC 11 FORM : EXEMPTION FROM ETHICS REVIEW APPLICATION FORM
4	PROGRESS REPORT	REC 6 FORM : MONITORING OF STUDIES FORM
5	PROJECT MEMBER AMENDMENT	REC 7 FORM : RESEARCH PROJECT MEMBERSHIP AMENDMENT FORM
6	PROJECT COMPLETION REPORT	REC 8 FORM : PROJECT COMPLETION REPORT FORM
7	REC REVIEW FORM	REC 9 FORM : RESEARCH ETHICS APPLICATION REVIEW FORM (FOR REC REVIEWERS)



# INTRODUCTION (1)

## Requirement for research ethics approval

UiTM REC approval is mandatory for all research involving human subjects\*, conducted by:

- i. UiTM staff
- ii. UiTM students
- iii. external parties conducting research on UiTM staff and students, and/or in UiTM premises

*\*UiTM Policy for Research Ethics Involving Human Subjects (2019)*

# INTRODUCTION (2)

## Research ethics application guidelines

- i. Ethics application forms can be accessed online at the following URL:  
<https://uitmethics.uitm.edu.my/v1/index.php>
- ii. Use the latest version of application forms (revision 2019/2020)
- iii. REC1: *Flowchart of Research Ethics Approval* summarizes the approval process
- iv. To apply for **research ethics approval**, fill up forms REC2, REC 3, REC4, REC5 and REC 12 (if subjects are minors)
- v. To apply for **exemption from ethical review**, fill up form REC11
- vi. Ensure that the application forms are **complete** and **signed** by members of the research team before submission
- vii. Submission of all forms prescribed by the REC must be in English, with the exception of research conducted in other languages (with Senate approval)

# INTRODUCTION (3)

## Research ethics approval application

- viii. Before submission to the REC, applications for ethics approval or exemption from ethical review must be approved and endorsed by the **Faculty/State Research Committee**
- ix. Only **completed forms** will be forwarded for REC review. Incomplete applications and applications with major grammatical errors will be returned to applicants for amendments
- x. Any data collection instruments requiring respondent/subject/participant input must be prepared in both Malay and English languages, and other language/s understood by the respondent/subject/participant (if necessary)
- xi. All required documents must be submitted to the REC within two (2) working weeks before the REC meeting for the month (schedule of REC meeting is available on the REC website)












# REC 1 FORM



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## Flowchart of Research Ethics Approval *Carta Alir Kelulusan Etika*




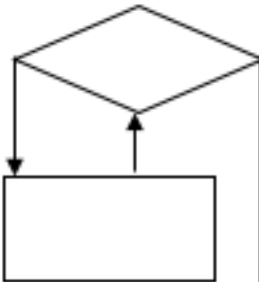
### Flowchart of Research Ethics Approval Application

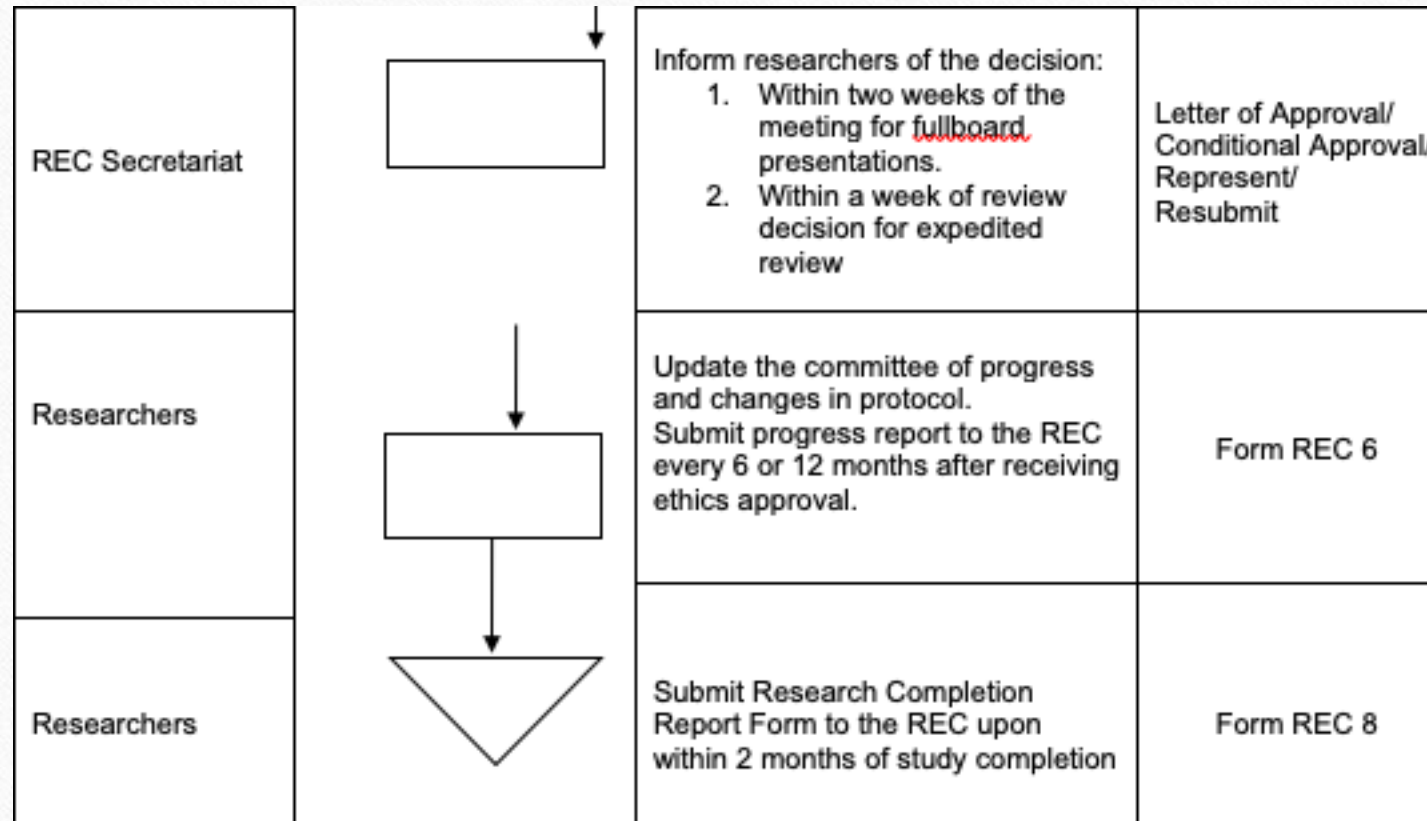
Personnel	Flow	Process/ Activity	Record/reference
Researchers & Faculty		Research proposal presentation at the Faculty/State.	Proposal
Researchers	 	Complete the relevant ethics approval application forms: 1. Application Form for Ethics Approval (REC 2). 2. Research Risk Classification Form (REC 3). 3. Subject Information Sheet (REC 4) and Assent form (REC12) (if applicable). 4. Checklist for Applicants (REC 5). OR 1. Application of Exemption from Ethical Review (REC 11) (if applicable).	Forms: REC 2,3,5 and 4/12 Form: REC 11 (if applicable)
Researchers	 	Submit Forms (REC 2,3,5 and 4 and 12 (if applicable) or REC 11 (if applicable), and other relevant documents (e.g. questionnaires, survey form, interview protocol) to the Secretariat of the Faculty/ State Research Committee.	Forms REC 2,3,5 and 4/12, or REC 11. Other relevant documents.
Secretariat of the Faculty / State Research Committee	 	Check for completion of Forms REC 2,3,5 and 4/12 or REC 11 are completed.	Forms REC 2,3,5 and 4/12 or REC 11 Other relevant documents.
Secretariat of the Faculty / State Research Committee	 	Submit completed forms (*softcopy) and related documents to Secretariat of the REC, at least two (2) weeks before the subsequent meeting. * Please upload the softcopy (scanned forms) at the following link:  <a href="https://forms.gle/KdyiNMNsLT2UR6fL7">https://forms.gle/KdyiNMNsLT2UR6fL7</a> or email <a href="mailto:recuitsubmit@gmail.com">recuitsubmit@gmail.com</a>	Cover letter from Faculty/ State Research Committee Forms REC 2,3,5 and 4/12 or REC 11 (softcopy). Other relevant documents.



REC Secretariat		Check for completion of Forms REC 2,3,5 and 4/12 or REC 11.	Forms REC 2,3,5 and 4/12 or REC 11. Other relevant documents.
REC Secretariat		Sends applications to reviewers	Forms REC 2. Other relevant documents.



REC Secretariat		Confirm that quorum is met for REC meetings scheduled on the 3 <sup>rd</sup> Tuesday of every month	Notice of Meeting
REC Secretariat		Send invitations to researchers (of 'More than Minimal Risk' study protocols) for <del>fullboard</del> presentation to the REC	Letter of Invitation
REC		Report on expedited review applications*.  Deliberation and decision on research ethics approval applications	Minutes of Meeting
REC		Approvals without Amendment: Decision will be <del>minuted</del>	Minutes of meeting
REC		Conditional Approvals: Minor corrections: Amendments within one (1) month. Major corrections: Amendments within three (3) months.	Forms REC 2-5. Other relevant documents.



\*Decision on expedited review will be given within one week of completed review

# REC 2

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*Application Form for Ethics Approval*  
Borang Permohonan Kelulusan Etika



# REC 2

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REC 2 consists of the following 5 sections:

- I. Part A: Researcher Details
- II. Part B: Research Details
- III. Part C: Funding
- IV. Part D: Agreement to conduct the research project.
- V. Part E: Verification of Faculty/State Research Committee

Applicants are required to complete **ALL** sections.

## BAHAGIAN A: Maklumat Penyelidik

### Part A : Details of Researcher

Tajuk Penyelidikan :

*Title of Research Project :*

Nama Penyelidik\*:

*Name of Researcher :*

Nama Penyelia:

*Name of Supervisor :*

Alamat Jabatan/ Hospital/

Institut:

*Address of Department/  
Hospital/ Institute :*

No.Telefon/ Emel :

*Contact No/ Email :*

Nama Koordinator

Kajian\*\*:

*Name of Study  
coordinator:*

No.Telefon/ Emel\*\*:

*Contact No/ Email:*

☐ \*Sarjana Muda / Undergraduate

☐ \*Pasca Siswazah / Postgraduate

☐ \*Staf/Pensyarah / Staff/Lecturers

☐ \*Pihak Luar / External

☐ \*\* Untuk Kajian Klinik Sahaja / For Clinical Studies Only

Title should contain the independent variable, dependent variable and population. Do not exceed 15 words

Researcher can be undergraduate student/ postgraduate student/ staff or external applicant

Supervisor (for undergraduate or postgraduate students)

Department, Faculty, Campus or External Institution

Contact details of the researcher (not the supervisor)

This section is for **Clinical Trials** only.  
If the study is not a Clinical Trial, please write "not applicable". **Do not** leave blank.

Please select (tick) the appropriate option



Adakah penyelidikan ini memerlukan kelulusan Jawatankuasa Etika Penyelidikan Luaran?  
(contoh MREC)

Does the research require an external Research Ethics Committee approval? (e.g. MREC)

☐ Ya / Yes

☐ Tidak / No

External Committee Name:

Dana Penyelidikan: Ada/ Tiada

Research funding: Yes/ No

Jika ada, sila lengkapkan bahagian C.  
If obtained, please complete section C.

Select (tick) "Yes" if the study involves premises governed by external bodies (eg. Studies conducted at the Ministry of Health hospitals require approval of the Medical Research Ethics Committee (MREC). Provide the name of the external Research Ethics Committee.

Select (tick) "Yes" if the study is funded  
Select (tick) "No" if the study is not funded



## BAHAGIAN B: Maklumat Penyelidikan *Part B : Research Details*

### Bahagian B1 *Part B1*

- |   |   |
|---|---|
| <input type="checkbox"/> <u>Temubual</u><br><i>Interviews</i>             | <input type="checkbox"/> <u>Kajian kes</u><br><i>Case study</i>                           |
| <input type="checkbox"/> <u>Kumpulan focus</u><br><i>Focus groups</i>     | <input type="checkbox"/> <u>Kajian klinikal</u><br><i>Clinical trial study</i>            |
| <input type="checkbox"/> <u>Soal selidik</u><br><i>Questionnaires</i>     | <input type="checkbox"/> <u>Kajian intervensi</u><br><i>Intervention study</i>            |
| <input type="checkbox"/> <u>Kajian tindakan</u><br><i>Action research</i> | <input type="checkbox"/> <u>Rekod peribadi</u><br><i>Personal records</i>                 |
| <input type="checkbox"/> <u>Pemerhatian</u><br><i>Observation</i>         | <input type="checkbox"/> <u>Analisis data sekunder</u><br><i>Secondary data analysis</i>  |
|   | <input type="checkbox"/> <u>Lain-lain, (nyatakan)</u><br><i>Others (provide details):</i> |

Select (tick) the appropriate research details (you may select more than one)

## Bahagian B2

### Part B2

1.	<p><b>Latar belakang:</b> <i>Background:</i> (Keterangan ringkas tentang masalah yang dikaji dan penyemakan literatur untuk menyokong keterangan tentang masalah yang dikaji. Sila lampirkan sekiranya ruang tidak mencukupi) (A brief explanation of the problem to be studied and literature review to support. Please append if more space is required)</p> <p><b>Penyataan masalah:</b> <i>Problem statement:</i></p> <p><b>Rujukan:</b> <i>References:</i></p>
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Briefly describe the study.  
The description should include the independent variable, dependent variable and the population

Include only references cited in the Background Section



2. **Objektif penyelidikan:**  
*Research objectives:*

Should be numbered.  
Use measurable verbs (eg. to compare, to measure etc.)

3. **Faedah yang dijangka:**  
*Expected benefits:*

Briefly describe social benefits to the study subjects/researchers/stakeholders, and expansion of the existing knowledge



4.	<b>Tarikh penyelidikan bermula-berakhir:</b> <i>Date of research commencement-end:</i>	For undergraduates studies, at least two semesters or until the study is completed. (eg: March 2020 – February 2021)
5.	<b>Jangkaan tarikh pengumpulan data bermula:</b> <i>Expected date of initial data collection:</i>	Date should be after REC approval. Allow at least two months interval from the date of complete document submission (eg. if completed documents are submitted in March 2020, expected date of initial data collection should be in May 2020)

6.	<b>Lokasi penyelidikan dijalankan:</b> <i>Location of research:</i>	Location should be specific (eg: Faculty of Sports Science, UiTM Shah Alam, Dataran Kemerdekaan etc.)
7.	<b>Rekabentuk penyelidikan dan metodologi:</b> <i>Research design dan methodology:</i>	Specify the study design (eg. cross sectional/ experimental) Describe the methodology i.e. data collection procedure, tools etc. (eg. in a questionnaire-based study describe the number of domains, number of items and scoring of the questionnaire)
8.	<b>Kriteria kemasukan dan pengecualian:</b> <i>Inclusion and exclusion criteria:</i>  <b>Kriteria kemasukan:</b> <i>Inclusion criteria:</i> <ul style="list-style-type: none"> <li>•</li> </ul> <b>Kriteria pengecualian:</b> <i>Exclusion criteria:</i> <ul style="list-style-type: none"> <li>•</li> </ul>	Characteristics of the samples/ respondents to be included and excluded from the study
9.	<b>Saiz sampel:</b> <i>Sample size:</i>  <b>Calculation:</b> <i>Pengiraan:</i>	Indicate the sample size (taking into consideration dropout/attrition rates. Provide the calculation for sample size. If the calculation is based on a previous study, please cite and attach the reference.

10.	<b>Carta alir penyelidikan:</b> <i>Research flowchart:</i>	A summary of Part B2 (Item 7)
11.	<b>Analisa statistik:</b> <i>Statistical analysis:</i>	Should appropriately address the Objectives in Part B2 (2). Explain whether descriptive or inferential statistics will be used. If inferential, explain the type of statistical test to be used (eg T-test, ANOVA etc.)



**Bahagian C: Maklumat Dana**  
**Part C: Funding details**

1.	<b>Geran / Sumber:</b> <i>Grant / Source:</i>	
2.	<b>Jumlah peruntukan:</b> <i>Total allocation:</i>	
3.	<b>Jangkamasa peruntukan:</b> <i>Duration of grant:</i>	
4.	<b>Yuran perkhidmatan penyelidik / professional :</b> <i>Investigator services / professional fees:</i>	
5.	<b>Yuran kepada UiTM :</b> <i>UiTM fees :</i>	
6.	<b>Lain-lain kemudahan / sumber disediakan organisasi penaja / syarikat kepada penyelidik:</b> <i>Other facilities/resource provided by sponsoring organisation / company to investigator:</i>	
7.	<b>Nama dan alamat penyelidik tempatan / Organisasi Penyelidikan Klinikal (OPK) yang ditaja:</b> <i>Name and address of local sponsor / Clinical Research Organisation (CRO):</i>	

If the study is funded, please provide details.  
 If the study is not funded, please state "Not Applicable"

Please complete this section if the study is a sponsored clinical trial.  
 If the study is not a clinical trial, please state "Not Applicable"

**Bahagian D: Pengesahan persetujuan menjalankan penyelidikan.**

*Part D: Agreement to conduct the research project.*

Mesti dilengkapkan dan ditandatangani oleh semua ahli kumpulan penyelidikan.

*Must be completed and signed by all members of the research group.*

1. Penyelidik utama (untuk dilengkapkan oleh Staf Akademik/Pelajar Pasca-siswazah sahaja)  
*Principal Researcher (to be filled by Academic Staf/Post-graduate Student only)*

<b>Nama:</b> <i>Name:</i>		
<b>No.Staf/No. Pelajar:</b> <i>Staff ID/Student ID:</i>		
<b>Jawatan/ Kepakaran:</b> <i>Position/ Specialisation:</i>		
<b>Jabatan:</b> <i>Affiliation:</i>		
<b>Telefon pejabat:</b> <i>Office:</i>		
<b>Telefon bimbit:</b> <i>Mobile phone:</i>		
<b>Emel:</b> <i>Email:</i>		
<b>Tandatangan:</b> <i>Signature:</i>		<b>Tarikh:</b> <i>Date:</i>

This section is to be completed and signed by  
**staff/ postgraduate students** only



2. Penyelia (sekiranya ada)  
*Supervisor (If any)*

<b>Nama:</b> <i>Name:</i>		
<b>No.Staf:</b> <i>Staff ID:</i>		
<b>Jawatan/ Kepakaran:</b> <i>Position/ Specialisation:</i>		
<b>Jabatan:</b> <i>Affiliation:</i>		
<b>Telefon pejabat:</b> <i>Office:</i>		
<b>Telefon bimbit:</b> <i>Mobile phone :</i>		
<b>Emel:</b> <i>Email:</i>		
<b>Tandatangan:</b> <i>Signature:</i>		<b>Tarikh :</b> <i>Date:</i>

This section is to be completed and signed by **supervisors** only (if any)  
 If there are no supervisors involved, please state "Not Applicable"



3. Penyelidik Bersama  
Co-Researcher

<b>Nama:</b> <i>Name:</i>		
<b>No.Staf/No. Pelajar:</b> <i>Staff ID/Student ID:</i>		
<b>Jawatan/ Kepakaran:</b> <i>Position/ Specialisation:</i>		
<b>Jabatan:</b> <i>Affiliation:</i>		
<b>Telefon pejabat:</b> <i>Office:</i>		
<b>Telefon bimbit:</b> <i>Mobile phone :</i>		
<b>Emel:</b> <i>Email:</i>		
<b>Tandatangan:</b> <i>Signature:</i>		<b>Tarikh:</b> <i>Date:</i>

This section is to be completed and signed by **undergraduate students/ co-researchers** (may be more than one)  
Please duplicate the tables for addition of more than one undergraduate student/ co-researcher.

(Tambah sekiranya perlu. *Add if necessary*)

**Bahagian E: Pengesahan Jawatankuasa Penyelidikan Fakulti/Negeri**  
*Part E: Verification from Faculty/State Research Committee*

Kami telah meneliti permohonan ini dan mencadangkan seperti di bawah:

+ We have deliberated on the application and propose as below:

☐ Penyelidikan melibatkan risiko minima. Dicapangkan untuk mendapat kelulusan tanpa pembentangan.  
*Minimal risk research. Recommend for approval without presentation.*

☐ Penyelidikan melibatkan risiko melebihi minima. Dicapangkan untuk mendapat kelulusan dengan pembentangan.  
*More than minimal risk research. Recommend for approval with presentation./*

**Ulasan jika ada:**

*Comment if any:*

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<b>Tandatangan Signature:</b> <b>Pengerusi/Pengerusi Ganti JK</b> <b>Penyelidikan Fakulti/Negeri</b> <i>Chairman/Co-chairman of Faculty/State</i> <i>Research Committee</i>	<b>Cop rasmi:</b> <i>Official stamp:</i>	<b>Tarikh:</b> <i>Date:</i>

Minimal risk means that the probability and magnitude of harm or discomfort anticipated in the research are not greater in and of themselves than those ordinarily encountered in daily life or during the performance of routine physical or psychological examinations or tests.

To be signed, stamped and dated by the Chair or Co-Chair of Faculty/State Research Committee after forms have been checked for completion, and amendments made according to suggestions by the Research Committee



# REC 3

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## *Research Risk Classification Form* Borang Klasifikasi Risiko Kajian



# REC 3

Contains 4 sections:

- i. Subject profile
- ii. Privacy and confidentiality
- iii. Risk of harm
- iv. Other ethical issues

Please answer each item in the REC 3 form by selecting (ticking) the option that applies.  
If “Yes” is selected, please describe details of risk and how the risk is minimized

**Do not** leave any items blank.  
If any of the items in the REC 3 form does not apply to your research, please state “**Not Applicable**”

**SILA JAWAB KESEMUA SOALAN DI BAWAH.**

Sekiranya jawapan anda 'Ya' kepada mana-mana soalan di bawah, sertakan maklumat ringkas di ruang yang disediakan.

**PLEASE ANSWER ALL QUESTIONS BELOW.**

If your answer is 'Yes' to any of the following questions, please include a brief information in the space provided.

	SUBJECTS' PROFILE	No	Yes	Brief description
1.	Adakah subjek kanak-kanak (Umur di bawah 18 tahun)? <i>Are the subjects children (under 18 years old)?</i>			
2.	Adakah subjek daripada golongan rentan? (cth: kecelaruan mental, kelainan keupayaan intelektual, berkeperluan khas, minoriti dan sebagainya.) <i>Are the subjects from a particular vulnerable group? (e.g. mental disorder, mentally challenged, disabled, minority, disadvantaged group etc.)</i>			
3.	Adakah subjek/pesakit ini memerlukan rawatan terminal? <i>Are any of these subjects/patients in terminal care?</i>			



4.	<u>Adakah subiek tidak boleh atau tidak berupaya memberi izin?</u> (spt: izin akan diambil secara tidak langsung daripada penjaga sah dan sebagainya.) <i>Are any of these subjects unable or are incapable of giving consent?</i> (i.e. consent will be obtained indirectly from a legal guardian etc.)			
5.	<u>Adakah subiek diberi sebarang emolument untuk menvertai kajian?</u> <i>Are the subjects given any form of emolument to participate?</i>			



	PRIVACY AND CONFIDENTIALITY	No	Yes	Brief description
6.	<p>Adakah data yang dikumpul berpotensi untuk menyebabkan ketidak selesaan, keaiban atau gangguan psikologi kepada subjek? (cth: orientasi seksual dan sebagainya.)</p> <p><i>Does any of the data collected have the potential to cause discomfort, embarrassment, or psychological harm to the subjects?</i></p> <p><i>(e.g. sexual orientation etc.)</i></p>			
7.	<p>Adakah penyelidikan anda melibatkan langkah-langkah yang tidak dimaklumkan kepada subjek?</p> <p>(cth: pemerhatian rahsia dan sebagainya.)</p> <p><i>Does your research involve measures undeclared to the subjects?</i></p> <p><i>(e.g. covert observations etc.)</i></p>			
8.	<p>Adakah data yang dikumpulkan akan didedahkan kepada pihak lain yang tidak terlibat dalam penyelidikan? (cth. agensi kerajaan)</p> <p><i>Will the collected data be made available to other parties not involved in the research? (e.g. government agencies)</i></p>			

	RISK OF HARM	No	Yes	Brief description
9.	Adakah anda akan mengumpul sampel biologi contohnya. cecair badan? <i>Will you be collecting biological samples e.g. body fluids?</i>			
10.	Adakah anda mempunyai akses kepada apa-apa maklumat yang akan membolehkan pengenalan subjek secara individu? <i>Do you have access to any information that will allow the identification of individual human subjects?</i>			
11.	Adakah kaedah pengumpulan invasif dan berpotensi menyebabkan kemudaratan, kesakitan atau ketidakselesaan? (kecuali tusukan jari, tumit, telinga.) <i>Is the collection method invasive and has the potential to cause harm, pain or discomfort? (except finger, heel, ear prick.)</i>			
12.	Adakah subjek akan melalui ujian fizikal atau senaman berintensiti tinggi? (jika 'Tidak', teruskan ke Soalan 15.) <i>Will the subjects be subjected to vigorous physical tests or exercise regime? (if 'No', go to Question 15.)</i>			
13.	Adakah subjek bukan atlet atau pesakit dengan penyakit kronik? <i>Are the subjects non-athletes or patients with chronic illness?</i>			
14.	Adakah mereka akan melalui senaman berintensiti maksimum? <i>Will they be subjected to maximal exercise intensity?</i>			



15.	Adakah terdapat sebarang prosedur/ ubat yang terlibat? <i>Is there any form of procedure/ medication involved?</i>			
16.	Adakah terdapat ubat atau peranti yang digunakan dengan tanpa indikasi yang diluluskan? <i>Is there any drug or device used with an unapproved indication?</i>			
17.	Adakah keizinan kajian telah didapati daripada sesiapa selain pesakit/subjek? <i>Can the informed consent be obtained from anyone other than the patient/subject?</i>			
18.	Adakah terdapat sebarang kemudahan kepada subjek jika dia memilih untuk menarik diri? <i>Is there any kind of risk to the subject if he/she chose to withdraw?</i>			



19.	Adakah sampel yang dikumpul akan disimpan untuk penyelidikan di masa hadapan? <i>Will the samples obtained be stored for future research?</i>			
20.	Adakah anda bercadang untuk menganalisa sampel selain tujuan asal ia dikumpulkan? <i>Do you propose to analyse the sample outside of the original purpose for which it was collected?</i>			
21.	Jika 'Ya' pada No. 20, adakah anda mendapat persetujuan daripada peserta untuk tujuan ini? <i>If 'Yes' to No. 20, <u>have you obtained consent from participants for this purpose?</u></i>			
22.	Apakah jenis sampel biologi yang dikumpul? (Sila nyatakan jumlah dan kekerapan.) <i>What type of biological samples collected?</i> (Please indicate amount and frequency.)			

	<b>OTHER ETHICAL ISSUES</b>	No	Yes	Brief description
23.	Adakah terdapat sebarang isu etika lain yang tidak dinyatakan dalam senarai semak ini? <i>Are there any other ethical issues not stated in this checklist?</i>			

# REC 4

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## Subject Information Sheet *Borang Maklumat Subjek*



# REC 4

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Contains 2 sections:

- i. Borang Maklumat Subjek  
*Subject Information Sheet*
- ii. Borang Izin  
*Consent Form*

# REC 4 – Subject information sheet

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- i. Include both Malay and English versions.  
If the study population does not understand either Malay or English, include a version in the spoken language of the population.
- i. Ensure that versions in all languages carry the same meaning.
- ii. Please use non-expert language (Do not include technical jargon).



# REC 4 – Subject information sheet

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- iv. Do not include citations
- v. The **Introduction** Section should be brief.  
Cover information that is important and relevant to the subject.
- iv. Remove all the **instructions** (in the brackets) when the form has been completed.
- v. Should be worded as an explanation to the subject/ legal guardian (LAR)  
(eg. You/your child will be requested to answer questions)

## Subject Information Sheet

### Research Title (State)

### Introduction of Research

(Maximum of 300 words using non-expert language/terms)

### Purpose of Research

(Maximum of 150 words using non-expert language/terms)

### Research Procedure

(Using non-expert language/terms)

### Participation in Research

Your participation in this research is entirely voluntary. You may refuse to take part in the study or you may withdraw yourself from participation in the research at any time without penalty.

### Benefit of Research

(State the benefit to subjects)

Information obtained from this research will benefit the individuals, researchers, institution and community for the advancement of knowledge and future practice.

### Research Risk

(State the risks involved)

### Confidentiality

(Include the confidentiality clause provided below)

Your information will be kept confidential by the investigators and will not be made public unless disclosure is required by law.

By signing this consent form, you will authorize the review of records, analysis and use of the data arising from this research.

10 April 2020

If you have any question about this research or your rights, please contact (state the name of the investigator) at (state the direct telephone number of the said investigator)

State the title of the study

Briefly introduce the study

Briefly explain in simple terms the purpose of the study

Briefly explain procedures/protocol involving the subject

The statement provided as example in the form may be retained if relevant

Briefly explain how the study will benefit the subject

Briefly explain risks (if any) to the subjects (eg minimal discomfort during procedure, time consuming protocols, fatigue due to the protocol)

Explain how the risks will be minimized and what safety precautions will be taken

The statement provided on confidentiality as example in the form may be retained if relevant



**Research Title**  
(State)

**Introduction of Research**  
(Maximum of 300 words using non-expert language/terms)

**Purpose of Research**  
(Maximum of 150 words using non-expert language/terms)

**Research Procedure**  
(Using non-expert language/terms)

**Participation in Research**  
Your participation in this research is entirely voluntary. You may refuse to take part in the study or you may withdraw yourself from participation in the research at any time without penalty.

**Benefit of Research**  
(State the benefit to subjects)

Information obtained from this research will benefit the individuals, researchers, institution and community for the advancement of knowledge and future practice.

**Research Risk**  
(State the risks involved)

**Confidentiality**  
(Include the confidentiality clause provided below)

Your information will be kept confidential by the investigators and will not be made public unless disclosure is required by law.

By signing this consent form, you will authorize the review of records, analysis and use of the data arising from this research.

If you have any question about this research or your rights, please contact (state the name of the investigator) at (state the direct telephone number of the said investigator)

If the Subject Information Sheet is used to explain to the legal guardian (LAR) of a minor, phrase sentences using “your child” instead of “you”.

Provide the name and direct telephone numbers of contact person for the study.

Consent Form<sup>1</sup>

To become a subject in the research, you or your legal guardian are required to sign this Consent Form.

I herewith confirm that I have met the requirement of age and am capable of acting on behalf of myself / as<sup>2</sup> a legal guardian as follows:

1. I understand the nature and scope of the research being undertaken.
2. I have read and understood all the terms and conditions of my participation in the research.
3. All my questions relating to this research and my participation therein have been answered to my satisfaction.
4. I voluntarily agree to take part in this research, to follow the study procedures and to provide all necessary information to the investigators as requested.
5. I may at any time choose to withdraw from this research without giving any reason.
6. I have received a copy of the Subjects Information Sheet and Consent Form.
7. Except for damages resulting from negligent or malicious conduct of the researcher(s), I hereby release and discharge UiTM and all participating researchers from all liability associated with, arising out of, or related to my participation. I agree to hold them harmless from any harm or loss that may be incurred by me due to my participation in the research.

Name of Subject/Legally authorized representative (LAR)	Signature
I.C No	Date
Name of Witness <sup>3</sup>	Signature
I.C No	Date
Name of Consent Taker	Signature
I.C No	Date

10 April 2020

# REC 4: Consent Form

Ensure that the consent form is completed.  
The original consent form is to be retained by the researcher.  
A duplicate copy is provided to the subject/ legal guardian (LAR)



# REC 5

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## Checklist for Applicants *Senarai Semak Pemohon*

# REC 5

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Contains 3 sections:

- i. Part A: For all applicants
- ii. Part B: For clinical trial applicants only
- iii. Part C: For all applicants

**Signature** of the researcher on **Page 4** is **required**



	ITEM PERKARA	YES YA	NO TIDAK
<b>Part A – For All Applicants</b> <u>Bahagian A – Untuk Semua Pemohon</u>			
1	Have you completed the REC 2 form? <u>Adakah anda telah melengkapkan Borang REC 2?</u>		
2	Have you completed the REC 3 form? <u>Adakah anda telah melengkapkan Borang REC 3?</u>		
3	Have you completed the REC 4 form? <u>Adakah anda telah melengkapkan Borang REC 4?</u>		
4	Has the form been signed by all researchers? <u>Adakah borang ditandatangani oleh semua penyelidik?</u>		
5	Has your application been approved and endorsement by your Faculty/State Research Committee? <u>Sudahkah permohonan anda mendapat kelulusan dan pengesahan Jawatankuasa Penyelidikan Fakulti/Negeri?</u>		
6	Has your supervisor checked for grammatical errors in REC 2 and REC 4 forms? <u>Adakah penyelia anda telah menyemak untuk kesalahan tatabahasa dalam Borang REC 2 dan Borang REC 4?</u>		

\* For Clinical Trials, please complete Part B. For Non-Clinical Trial application please proceed to Part C, and sign on page 5.

*Bagi permohonan **Penyelidikan Klinikal**, sila lengkapkan Bahagian B. Bagi permohonan penyelidikan **Bukan Klinikal** sila isi Bahagian C dan tandatangan di Halaman 5.*

**Part B – For Clinical Trial Applications\***

***Bahagian B – Untuk Permohonan Penyelidikan Klinikal\****

6	<p>Have you submitted a cover letter for application?  <i>Adakah anda telah menghantar surat iringan bagi untuk permohonan?</i></p>		
7	<p>Have you submitted:</p> <ul style="list-style-type: none"> <li>- Study Protocol</li> <li>- Study amendments (if applicable)</li> <li>- Case Report Forms (CRF)</li> </ul> <p><i>Adakah anda telah menghantar:</i></p> <ul style="list-style-type: none"> <li>- <i>Protokol Penyelidikan</i></li> <li>- <i>Pindaan Protokol (jika berkaitan)</i></li> <li>- <i>Borang Laporan Kes</i></li> </ul>		
8	<p>Have you submitted documents given to trial subjects such as:</p> <ul style="list-style-type: none"> <li>- Information of study</li> <li>- Advertisement of subject recruitment</li> </ul> <p><i>Adakah anda telah menghantar dokumen-dokumen yang diberikan kepada subiek penyelidikan seperti:</i></p> <ul style="list-style-type: none"> <li>- <i>Maklumat Penyelidikan</i></li> <li>- <i>Iklan bagi pengambilan subiek</i></li> </ul>		
9	<p>Have you submitted signed agreement between involved parties:</p> <ul style="list-style-type: none"> <li>- Investigator and sponsor</li> <li>- Investigator and Contract Research Organization(CRO)</li> </ul> <p><i>Adakah anda telah menghantar dokumen perjanjian yang telah ditandatangani antara pihak-pihak yang terlibat:</i></p> <ul style="list-style-type: none"> <li>- <i>Penyelidik dan penaja</i></li> <li>- <i>Penyelidik dan Contract Research Organization(CRO)</i></li> </ul>		



10	Have you submitted the Investigator's Brochure? <u>Adakah anda telah menghantar risalah penyelidikan?</u>		
11	Have you submitted the Financial Agreement with sponsor? <u>Adakah anda telah menghantar dokumen perjanjian kewangan bersama penaja?</u>		
12	Have you submitted the Insurance Statement and related documents? <u>Adakah anda telah menghantar penyata insurance dan dokumen-dokumen berkaitan?</u>		
13	Have you submitted the clinical trial agreement (CTA)? The completed CTA with signature must be submitted within three (3) months of REC approval.		
	<u>Adakah anda telah menghantar dokumen perjanjian penyelidikan klinikal? Dokumen perjanjian penyelidikan klinikal yang lengkap dengan tandatangan perlu dihantar tiga (3) bulan selepas kelulusan Jawatankuasa Etika Penyelidikan (REC).</u>		
14	Have you submitted Curriculum Vitae of all investigators involve in study? The CVs submitted must be dated, signed and stamped. <u>Adakah anda telah menghantar Curriculum Vitae (CV) bagi semua penyelidik terlibat? Curriculum Vitae penyelidik perlu ditandatangani berserta cop dan tarikh.</u>		
15	Have you submitted Good Clinical Practice certificates of all Investigators? <u>Adakah anda telah menghantar sijil Good Clinical Practice bagi semua penyelidik?</u>		
16	Have you submitted the Annual Practicing Certificate (APC)? The APCs submitted must be signed, stamped and dated. <u>Adakah anda telah menghantar Annual Practicing Certificate (APC)? Annual Practicing Certificate penyelidik perlu ditandatangani berserta cop dan tarikh.</u>		

**Part C – For All Applicants**  
***Bahagian C – Untuk Semua Pemohon***

	<p>1. Please upload the scanned forms to the following link: <i>Sila muat naik salinan borang asal permohonan (REC 2, REC 3, REC 4 / REC12, REC 5) yang lengkap ditandatangani beserta cop dan tarikh ke pautan berikut:</i></p> <p><i><a href="https://forms.gle/KdyiNMNsLT2UR6fL7">https://forms.gle/KdyiNMNsLT2UR6fL7</a></i></p> <p>You are advised to submit your application at least TWO (2) working weeks before the meeting (please check the meeting schedule at the website: <a href="http://uitmethics.uitm.edu.my">http://uitmethics.uitm.edu.my</a>)</p> <p><i>Anda dinasihatkan untuk menyerahkan borang permohonan sekurang-kurangnya DUA (2) minggu hari bekerja sebelum tarikh mesyuarat (Sila semak tarikh mesyuarat di laman sesawang: <a href="http://uitmethics.uitm.edu.my">http://uitmethics.uitm.edu.my</a>)</i></p>		
	<p>You may be invited to present your applications. <i>Anda mungkin dijemput untuk membentangkan permohonan anda.</i></p>		



Decisions for the applications will be informed within TWO (2) working weeks after the meeting.

Decisions:

Keputusan permohonan akan dimaklumkan DUA (2) minggu hari bekerja selepas mesyuarat. Keputusan:

- (a) Approved  
Lulus
- (b) Conditional approval (subject to corrections)  
Lulus bersyarat (tertakluk kepada pembetulan)

Applicant is required to:

Pemohon dikehendaki:

- include cover letter indicating the correction/s.  
menvertakan surat iringan memaklumkan pembetulan.
- include supporting documents if necessary.  
menvertakan dokumen sokongan sekiranya perlu.
- highlight the correction/s in the relevant forms.  
tandakan pembetulan dalam borang berkaitan.
- Please upload the scanned amended forms to the following link:  
Sila muat naik salinan imbasan borang pembetulan tersebut ke pautan berikut:

<https://forms.gle/LJ4i6NDepi2Kf93g8>

[LINK](https://forms.gle/LJ4i6NDepi2Kf93g8)

(c) Re-present

Pembentangan semula

Applicant is required to:

Pemohon dikehendaki:

- include cover letter indicating the correction/s.  
menvertakan surat iringan memaklumkan pembetulan.
- include supporting documents if necessary  
menvertakan dokumen sokongan sekiranya perlu.
- highlight the correction/s in the relevant forms.  
tandakan pembetulan dalam borang berkaitan.
- Please upload the scanned amended forms to the following link:  
Sila muat naik salinan imbasan borang pembetulan tersebut ke pautan berikut:

<https://forms.gle/LJ4i6NDepi2Kf93g8>

[LINK](https://forms.gle/LJ4i6NDepi2Kf93g8)

- to present again in subsequent REC meeting  
membentang semula pada mesyuarat REC berikutnya

	(d) Not approved due to ethical issues that cannot be satisfactorily resolved. Recommend to resubmit. <u>Tidak lulus disebabkan penyelesaian isu etika yang tidak memuaskan.</u> <u>Dicadangkan untuk memohon semula.</u>

Additional comments (if any): Komen Tambahan (Jika Ada):	
Applicant's Signature	Date
Supervisor's Signature	Date



# REC 6

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## Monitoring of Ongoing Studies Form *Borang Pemantauan Kajian Berterusan*

Form REC6 is to be submitted annually until completion of the study.

**Borang Pemantauan Kajian Berterusan**  
**Monitoring of Ongoing Studies Form**

Permohonan ini dikemukakan untuk tujuan penerimaan/kelulusan pindaan Jawatankuasa Etika Penyelidikan.

Sila lampirkan surat iringan dan dokumen berkaitan.

*This application is for the purpose of acceptance/approval of amendments to Research Ethics Committee.*

*Please attach a copy of the cover letter and relevant documents.*

**Bahagian A: Maklumat Penyelidik**

*Part A : Details of Researcher*

Tajuk Penyelidikan :

*Title of Research :*

Nama Penyelidik\*:

*Name of Researcher :*

Nama Penyelia:

*Name of Supervisor :*

Alamat Jabatan/ Hospital/  
Institut:

*Address of Department/  
Hospital/ Institute :*

No.Telefon/ E-mel :

*Contact No/ E-mail :*

Nombor Pendaftaran:

*Registration Number:*

Tarikh daftar/ Kelulusan:

*Date registered/ Approval:*

Jangkamasa Kajian:

*Research Duration:*

Nama Koordinator Kajian\*\*:

*Name of Study coordinator:*

No.Telefon/ Emel\*\* :

*Contact No/ Email :*

☐ \*Sarjana Muda / Undergraduate

☐ \*Pasca Siswazah / Postgraduate

☐ \*Staf/Pensyarah / Staff/Lecturers

☐ \*Pihak Luar / External

☐ \*\* Untuk Kajian Klinik Sahaja / For Clinical Studies Only



**Bahagian B: Ringkasan Protokol dan Jangkamasa**  
**Part B: Protocol Summary and Timeline**

☐ Intervensi, nyatakan jenis (tanda lebih daripada satu jika berkaitan)  
*Intervention, specify type (tick more than one if applicable):*

- ☐ Ubatan  
*Drug*
- ☐ Bahan biologi  
*Biologic sample*
- ☐ Tingkahlaku/ Gaya hidup  
*Behavioral/Lifestyle*
- ☐ Pembedahan  
*Surgical*

	Lokasi 1 Site 1	Lokasi 2 Site 2
Tarikh kajian bermula <i>Study commencement date</i>		
Lokasi <i>Site</i>		
Sasaran tarikh enrolmen pertama <i>Target of first enrolment date</i>		
Tarikh enrolmen pertama <i>First enrolment date</i>		
Sasaran bilangan enrolmen <i>Target enrolment number</i>		
Bilangan enrolmen semasa <i>Current enrolment number (%)</i>		
Sasaran tarikh selesai <i>Target completion date</i>		
Tarikh sebenar selesai <i>Actual completion date</i>		
Bilangan yang disaring <i>Number screened</i>		
Bilangan gagal saringan <i>Number of screen failure</i> Sebab 1 (nyatakan) <i>Reason 1 (state)</i> Sebab 2 (nyatakan) <i>Reason 2 (state)</i>		
Bilangan subiek selesai <i>Number of completed subjects</i>		
Bilangan subiek hilang <i>Number of missing subjects</i>		
Bilangan subiek diberhentikan/ menarik diri <i>Number of subjects discontinued/ withdrawn</i>		
Bilangan subiek aktif <i>Number of active subjects</i>		

**Bahagian C: Serahan untuk pemantauan**  
**Part C: Submission for monitoring**

<ul style="list-style-type: none"><li><input type="checkbox"/> Perubahan Protokol <i>Protocol Amendment</i></li><li><input type="checkbox"/> Pelanggaran Protokol <i>Protocol Deviation</i></li><li><input type="checkbox"/> Perubahan izin bermaklum <i>Change in informed consent</i></li><li><input type="checkbox"/> Perubahan brosur penyelidik <i>Change in investigator brochure</i></li><li><input type="checkbox"/> Laporan Tahunan <i>Annual Report</i></li><li><input type="checkbox"/> SUSAR</li></ul>	<ul style="list-style-type: none"><li><input type="checkbox"/> Penambahan/ perubahan penyelidik <i>Investigator addition/change</i></li><li><input type="checkbox"/> Penambahan lokasi <i>Site addition</i></li><li><input type="checkbox"/> Pelaporan Kejadian 'Adverse' <i>Adverse Event Reporting</i></li><li><input type="checkbox"/> Penggantungan <i>Suspension</i></li><li><input type="checkbox"/> Penamatan Awal <i>Early termination</i></li><li><input type="checkbox"/> Borang CIOMS <i>CIOMS Form</i></li><li><input type="checkbox"/> Lain-lain (Sila nyatakan)</li><li>_____</li><li>_____</li></ul>
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Tandakan lebih daripada satu jika berkaitan.  
Tick more than one if applicable

<b>Perubahan Protokol</b> <b>Protocol Amendments</b>	<b>Tidak</b> <b>No</b>	<b>Ya (jelaskan)</b> <b>Yes (explain)</b>
Intervensi <i>Intervention</i>		
Populasi kajian <i>Study population</i>		
Saiz sampel <i>Sample size</i>		
Jangkamasa <i>Duration</i>		
Lain-lain <i>Others</i>		

<b>Pelanggaran Protokol</b> <b>Protocol Deviation</b>	<b>Tidak</b> <b>No</b>	<b>Ya (jelaskan)</b> <b>Yes (explain)</b>
Penyampaian produk kajian <i>Administration of Investigational Product (IP)</i>		
Lawatan susulan <i>Follow up visit</i>		
Pengendalian produk kajian <i>Handling of IP</i>		
Lain-lain <i>Others</i>		

<b>Perubahan izin bermaklum:</b> <b>Change in informed consent:</b>	<b>Tidak</b> <b>No</b>	<b>Ya (jelaskan)</b> <b>Yes (explain)</b>
Intervensi <i>Intervention</i>		
Jangkamasa <i>Duration</i>		
Indikasi terkini <i>Updated indication</i>		
Kesan adves terkini <i>Updated adverse events</i>		
Lain-lain <i>Others</i>		

<b>Perubahan brosur penyelidik:</b> <i>Change in investigator brochure:</i>	<b>Tidak</b> <i>No</i>	<b>Ya (jelaskan)</b> <i>Yes (explain)</i>
Intervensi <i>Intervention</i>		
Jangkamasa <i>Duration</i>		
Indikasi terkini <i>Updated indication</i>		
Kesan adves terkini <i>Updated adverse events</i>		
Lain-lain <i>Others</i>		

<b>Penambahan/ penukaran penyelidik:</b> <i>Investigator addition/ change:</i>	<b>Tidak</b> <i>No</i>	<b>Ya (jelaskan)</b> <i>Yes (explain)</i>
Penambahan <i>Addition</i>		
Perubahan <i>Change</i>		
Terlatih dalam Amalan Klinikal yang Baik <i>Good Clinical Practice (GCP) trained</i>		
Lain-lain <i>Others</i>		

<b>Penambahan/ penukaran lokasi</b> <i>Site addition/ change</i>	<b>Tidak</b> <i>No</i>	<b>Ya (jelaskan)</b> <i>Yes (explain)</i>
Penambahan <i>Addition</i>		
Perubahan <i>Change</i>		
Lain-lain <i>Others</i>		



Kejadian Kesan 'Adverse' Adverse Events	Tidak No	Ya (jelaskan) Yes (explain)
Laporan Lembaga Pemantauan Data dan Keselamatan Data and Safety Monitoring Board (DSMB) report		
Jumlah bilangan laporan Total number of reports		
Jumlah bilangan kejadian kesan 'adverse' yang serius Total number of Serious Adverse Event (SAE)		
Jumlah bilangan kematian Total number of deaths		
Jumlah kejadian klinikal yang berkepentingan Total number of Events of Clinical Interest (ECI)		
Jumlah kejadian klinikal serius diluar jangkaan yang disyaki Total number of Suspected Unexpected Serious Adverse Reaction (SUSAR)		
Kejadian 'adverse' di lokasi UiTM Adverse Event at UiTM Site		
Jumlah bilangan laporan Total number of reports		
Jumlah bilangan kejadian kesan 'adverse' yang serius Total number of Serious Adverse Event (SAE)		
Jumlah kejadian klinikal yang berkepentingan Total number of Events of Clinical Interest (ECI)		
Jumlah kejadian klinikal serius diluar jangkaan yang disyaki Total number of Suspected Unexpected Serious Adverse Reaction (SUSAR)		
Jumlah kematian Total number of deaths		
Others (briefly explain)		

**Penggantungan***Suspension***Nyatakan secara ringkas***Briefly explain***Penamatan awal***Early termination***Nyatakan secara ringkas***Briefly explain***Pengesahan Ketua Penyelidikan (Research Leader Verification)****Tandatangan & Cop :**  
*Signature & Stamp***Tarikh :**  
*Date*



**Bahagian D : Pengesahan Jawatankuasa Penyelidikan Etika**

*Part D : Endorsement from Research Ethics Committee*

Saya telah meneliti permohonan ini dan mencadangkan seperti di bawah:  
*I have deliberated on the application and propose as below:*

☐ Dicadangkan untuk diterima/ diluluskan tanpa pembentangan.  
*Recommend for acceptance without presentation.*

☐ Dicadangkan untuk pembentangan.  
*Recommend for presentation.*

**Ulasan jika ada:**

*Comment if any:*

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Tandatangan <i>Signature</i> Ahli JK Etika Penyelidikan <i>Member of Research Ethics Committee</i>	Cop rasmi <i>Official stamp</i>	Tarikh <i>Date</i>

# REC 7

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Research Project Membership Amendment Form  
*Borang Perubahan Keahlian Projek Penyelidikan*



## BORANG PERUBAHAN/PENAMBAHAN KEAHLIAN BAGI PROJEK PENYELIDIKAN

### *Research Project Membership Amendment Form*

Tajuk Projek

*Project Title*

:

Kod Projek

*Project Code*

:

Bidang Penyelidikan

*Area of Specialisation*

:

Tempoh Projek

*Project Duration*

:

Jumlah Peruntukan

*Total budget*

:

Ketua Projek

*Project Leader*

:

Tandakan ( / ) pada ruangan yang disediakan/*Tick ( / ) at the related field:*

☐

**Penambahan Ahli Projek**

*Project Membership Addition*

☐

**Pertukaran Ahli Projek**

*Project Membership Amendment*

Nama/Name :	
No. Staf :	Email :
Tel. Pejabat (Office):	No. Tel. Bimbit (Handphone):
Fakulti/Pusat/Bahagian/Jabatan/Affiliation :	Tandatangan/Signature :

Nama/Name :	
No. Staf :	Email :
Tel. Pejabat (Office):	No. Tel. Bimbit (Handphone):
Fakulti/Pusat/Bahagian/Jabatan/Affiliation :	Tandatangan/Signature :

(Tambah sekiranya perlu. Add if necessary)



**Dokumen Sokongan / Perakuan (*Supporting Documents/Declaration*)**

Bagi perubahan keahlian, penyelidik diminta melampirkan dokumen seperti di bawah.  
*For Research Project Membership Amendment/Addition, researcher is required to provide documents as follows:*

Tandakan ( / ) pada ruangan yang disediakan/*Tick ( / ) at the related field:*

☐

MYRA CV' calon yang ingin dilantik/*MYRA CV of the candidate*

**Justifikasi / Sebab Memohon Perubahan Keahlian Projek (WAJIB dinyatakan):**

*Justification/Reason for the Research Project Membership Amendment/Addition (Compulsory):*

.....

.....

.....

**Pengesahan Ketua Penyelidikan (*Research Leader Verification*)**

Tandatangan & Cop :  
*Signature & Stamp*

Tarikh :  
*Date*

**Perakuan Pengerusi Jawatankuasa Penyelidikan Fakulti/Negeri (*Faculty/State Research Committee Verification*)**

Ulasan/Comment :

.....

.....

.....

Keputusan :  
*Decision*

☐

Diluluskan (Disokong)  
Approved

☐

Tidak Diluluskan  
Rejected

Tandatangan & Cop :  
*Signature & Stamp*

Tarikh :  
*Date*



# REC 8

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## Research Completion/Termination Report Form

*Borang Laporan Akhir/Penamatan Penyelidikan*

# REC 8

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- i. For postgraduate or staff research, the REC 8 form is to be submitted to the REC Secretariat within 6 months of completion of the research.
- ii. For undergraduates, submission of REC 8 to the REC Secretariat is to be made when the Final Year Project (FYP) report is submitted.



## Bahagian A: Maklumat Penyelidik

### Part A : Details of Researcher

Tajuk Penyelidikan :

*Title of Research :*

Nama Penyelidik\*:

*Name of Researcher :*

Nama Penyelia:

*Name of Supervisor :*

Alamat Jabatan dan Hospital/  
Institut:

Address of Department and  
Hospital/ Institute :

No.Telefon/ E-mel :

*Contact No/ E-mail :*

Nombor Pendaftaran:

*Registration Number:*

Tarikh daftar/ Kelulusan:

*Date registered/ Approval:*

Jangkamasa Kajian:

*Research Duration:*

Tarikh Tamat:

*Date of completion:*

## **Bahagian B: Ringkasan Protokol dan Jangkamasa** *Part B: Protocol Summary and Timeline*

### **Bahagian B1**

#### *Part B1*

☐ Temubual

*Interviews*

☐ Kumpulan focus

*Focus groups*

☐ Soal selidik

*Questionnaires*

☐ Kajian tindakan

*Action research*

☐ Pemerhatian

*Observation*

☐ Kajian kes

*Case study*

☐ Kajian klinikal

*Clinical trial study*

☐ Kajian intervensi

*Intervention study*

☐ Rekod peribadi

*Personal records*

☐ Analisis data sekunder

*Secondary data analysis*

☐ Lain-lain, (nyatakan)

*Others (provide details):*



<b>Bahagian B2 (Penyelidikan klinikal sahaja)</b> <i>Part B2 (Clinical Research only)</i>	<b>Lokasi 1</b> <b>Site 1</b>	<b>Lokasi 2</b> <b>Site 2</b>
Tarikh penyelidikan bermula <i>Study commencement date</i>		
Lokasi <i>Site</i>		
Sasaran tarikh enrolmen pertama <i>Target of first enrolment date</i>		
Tarikh enrolmen pertama <i>First enrolment date</i>		
Sasaran bilangan enrolmen <i>Target enrolment number</i>		
Sasaran tarikh selesai <i>Target completion date</i>		
Tarikh sebenar selesai <i>Actual completion date</i>		
Bilangan yang disaring <i>Number screened</i>		

## **Bahagian C: Laporan Penamatan Kajian**

### **Part C: Study End Report**

Ringkasan dapatan kajian.

*Summary of research findings.*

Sebarang permasalahan sekiranya ada:

*Concerns if any:*

#### **Pengesahan Ketua Penyelidikan (*Research Leader Verification*)**

Tandatangan & Cop :  
*Signature & Stamp*

Tarikh :  
*Date*



## **Bahagian D : Pengesahan Jawatankuasa Penyelidikan Etika**

### ***Part D : Endorsement from Research Ethics Committee***

Saya telah meneliti makluman ini dan mencadangkan ia diterima dan direkodkan.

*I have deliberated on this notification and propose its acceptance and to be recorded.*

#### **Ulasan jika ada:**

*Comment if any:*

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<b>Tandatangan</b> <i>Signature</i> Ahli JK Etika Penyelidikan <i>Member of Research Ethics Committee</i>	<b>Cop rasmi</b> <i>Official stamp</i>	<b>Tarikh</b> <i>Date</i>

**Bahagian E : Hasil Kajian**  
*Part E : Research Output*

Senarai penerbitan

*List of Publications*

Kepentingan dan sumbangan kepada masyarakat dan negara (cth: polisi)

*Significance and contribution to society and nation (eg. policy)*

Kertas Pembentangan Persidangan

*List of Conference Presentations*

Lain-lain

*Others*

Senarai pelajar Sarjana

Muda/Pascasiswazah yang dilatih

*List of undergraduate/postgraduates trained*

# REC 9

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## Research Ethics Application Review Form *Borang Penilaian Pemohonan Etika*

(For REC reviewers)



# REC 10

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## Cover Letter for Amendment *Surat Iringan Pembetulan*

**Chairman  
Research Ethic Committee (REC)  
Institute of Research Management and Innovation (IRMI)  
Universiti Teknologi MARA  
Shah Alam, Selangor**

**(Date of submission)**

**Attn: UiTM REC Secretariat**

**Dear REC Chairman**

**AMENDMENT ETHICS APPROVAL BY UiTM RESEARCH ETHICS COMMITTEE**

With reference to your letter dated **(date of conditional approval letter)** [Our reference: **REC/1/16**], this is to inform you that we have made the amendments to the proposal entitled **“(title of proposal)”**.

The amendments done are listed below:



No.	Amendment	Action Taken
1	(what is been written in the Conditional Approval letter)	(Please highlight the page and form.)  Eg: We already include the <u>muslim</u> participants in Inclusion Criteria. (Part B, pg. 5, inclusion criteria)

We wish to express our gratitude for your good cooperation.

Thank you.

Sincerely,

(Supervisor Name)

(Position)

(Address)



# REC 11

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## Exemption from Ethics Review Application Form *Borang Permohonan Pengecualian Semakan Etika*

Requires approval and endorsement of Faculty/State Research Committee before submission to the REC

**Application for Exemption from Ethical Review**  
*Permohonan Pengecualian daripada Semakan Etika*

Please attach a copy of Research Proposal.

*Sila lampirkan salinan kertas cadangan penyelidikan.*

**Part A : Details of Researcher**

*Bahagian A: Maklumat Penyelidik*

Title of Research Project :

*Tajuk Penyelidikan*

---

Name of Researcher :

*Nama Penyelidik*

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Name of Supervisor :

*Nama Penyelia*

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Address of Department/ Hospital/  
Institute :

*Alamat Jabatan/ Hospital/*  
*Institut:*

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Contact No/ Email :

*No. Telefon/ Emel:*

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☐ \*Sarjana Muda / Undergraduate

☐ \*Pasca Siswazah / Postgraduate

☐ \*Staf/Pensyarah / Staff/Lecturer

☐ \*Pihak Luar / External

**Part B : Details of Research**  
*Bahagian B: Maklumat Penyelidikan*

**Executive summary**

*(Not more than 300 words. Please include brief methodology, objectives of research, justification of research, benefits and possible risks)*

Ringkasan eksekutif

(Tidak melebihi 300 patah perkataan. Sila masukkan metodologi ringkas, objektif penyelidikan, justifikasi penyelidikan, faedah dan risiko yang mungkin berlaku)



**Part C : Justification for Exemption from Ethical Review (tick where applicable, can be more than one)**

*Bahagian C: Justifikasi Pengecualian daripada Semakan Etika (tandaan yang berkenaan, boleh melebihi daripada satu)*

- ☐ This research does not involve human participants, human tissues and/or biological samples.  
*Kajian ini tidak melibatkan peserta manusia, tisu manusia dan/atau sampel biologi.*
- ☐ This research does not collect sensitive and/or identifiable data of an individual.  
*Kajian ini tidak mengumpul data sensitif dan/atau data yang boleh mengenal pasti individu.*
- ☐ This research is for institutional quality assurance purposes (eg. clinical audit) related to evaluation of public service programs, public health surveillance, educational evaluation activities, consumer satisfaction, and consumer acceptability tests.  
*Kajian ini adalah untuk tujuan jaminan kualiti institusi (contoh audit klinikal) yang berkait dengan penilaian program perkhidmatan awam, pengawasan kesihatan awam, aktiviti penilaian pendidikan, kepuasan pengguna dan ujian penerimaan pengguna.*
- ☐ Others (provide details):  
*Lain-lain (nyatakan butiran):*

#### **Part D: Verification from Faculty/State Research Committee**

##### *Bahagian D: Pengesahan Jawatankuasa Penyelidikan Fakulti/Negeri*

The Faculty/State Research Committee has reviewed the study protocol and recommends for exemption from ethical review.

*Jawatankuasa Penyelidikan Fakulti/Negeri telah mengkaji protokol kajian dan mengesyorkan untuk pengecualian daripada semakan etika.*

<b>Signature Tandatangan:</b> <b>Chairman/Alternate Chair of Faculty/State Research Committee</b> <i>Pengerusi/Pengerusi Ganti JK Penyelidikan Fakulti/Negeri</i>	<b>Official stamp:</b> <i>Cop rasmi:</i>	<b>Date:</b> <i>Tarikh:</i>



# REC 12

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## Assent Form *Borang Persetujuan*



# REC 12 – Assent Form

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- i. If research subjects are below 18 (7 – 17) years of age (minors), an **Assent Form** is required.
  - ii. Assent is defined as a minor's "affirmative agreement" to participate in research.
  - iii. It is similar to a subject information sheet, but uses simpler, age-appropriate language which can be understood before an decision for participation is made by the minor.
  - iv. Consent from parents or legally acceptable representative (LAR) overrides dissent of the minor when it involves the safety and wellbeing of the minor

## ASSENT FORM

Your parent/legally authorized representative (LAR) has given permission for you to be in a project called *(state name of project here)*. We would like to explain it to you, so that you can decide if you want to be in it. If you don't understand, please ask questions. You can choose to be in the study, or not to be in the study, or to take more time to decide.

**What is the project about?** *(Briefly describe the project)*

**Why do I need to be in this project?** *(Briefly describe the purpose of the project)*

**What should I do in this project?** *(Briefly explain the minor's role in the project)*

**What will happen to me in the project?** *(Briefly explain the risk)*

**Do I have to be in the project?**

You don't have to be in the project if you don't want to. If you are in the project, you can stop at any time without making anyone upset. If you want to be in the project, please write your name below. Please make sure that you understand what has been explained to you.

**Who can I talk to about this project?**

If you want to ask anything, you can call me anytime.

*Name of Researcher:*

*Contact number:*

**Will anyone know about what I say or do in the project?** (*Briefly explain the anonymity and confidentiality of research participation*)



Assent Questions:

Instructions to minor: Please circle your answer below.

- |  |        |
|--|--------|
| 1. Has somebody explained this project to you?                 | Yes/No |
| 2. Do you understand what this project is about?               | Yes/No |
| 3. Do you have any questions about the project?                | Yes/No |
| 4. If you have asked a question, do you understand the answer? | Yes/No |
| 5. Do you understand it's ok to stop taking part at any time?  | Yes/No |
| 6. Are you ok to take part?                                    | Yes/No |
| 7. Are you ok for your voice to be recorded?                   | Yes/No |
| 8. Are you ok to be on video?                                  | Yes/No |
| 9. Are you ok to have photographs taken?                       | Yes/No |

If you want to take part, please write your name and sign, or place your thumb print in the box.

Name of participant

Signature

Date

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Name of consent taker

Signature

Date

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*(In instances where the minor is unable to read, or where the research covers sensitive issues, a witness should attest in the section below)*

Name of witness

Signature

Date

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# Enquiries

- Contact : Secretariat

UiTM Research Ethics Committee



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UNIVERSITI  
TEKNOLOGI  
MARA

- Email: [recsecretariat@uitm.edu.my](mailto:recsecretariat@uitm.edu.my)
- Contact number:
  - 03 - 5544 8069 (Pn. Nur Adilah Ruslee)
  - 03 - 5544 2794 (Pn. Raiminazihah Osman)





THANK YOU